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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 15235 007

First Inventor CASSIN

Title DEVICES, METHODS AND A SYSTEM FOR IMPLEMENTING A MEDIA CONTENT DELIVERY AND PLAYBACK SCHEME

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 78]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 23]
5. Oath or Declaration [Total Pages]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☒ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_ / \_\_\_\_  
Prior application information: Examiner \_\_\_\_ Group / Art Unit: \_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

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or ☐ Correspondence address below

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Name (Print/Type)	Leslie L. Jacobs, Jr., Andrew S. Brenc	Registration No. (Attorney/Agent)	40,659, 45,534
Signature			Date
			July 26, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# ARNOLD & PORTER

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555 Twelfth Street, NW  
Washington, DC 20004-1206

July 26, 2001

*Box Patent Application*

Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Utility Patent Application  
Filed: July 26, 2001  
For: Devices, Methods and a System  
For Implementing a Media  
Content Delivery and  
Playback Scheme  
Inventors: Lionel CASSIN *et al.*  
Atty. Docket: 15235.007

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.  
Patent and Trademark Office:

1. Utility Patent Application Transmittal;
2. U.S. Utility Patent Application entitled:

**Devices, Methods and a System for Implementing a Media Content Delivery  
and Playback Scheme**

and naming as inventors:

**Lionel CASSIN, Ronnen MILLER, Luke A. RENN, Donald A.  
PELLEGRINO, Jr., and David J. ACQUESTA**

the application consisting of:

- a. A specification containing:
  - (i) 56 pages of a description prior to the claims;
  - (ii) 21 pages of claims (150 claims);
  - (iii) 1 page abstract;

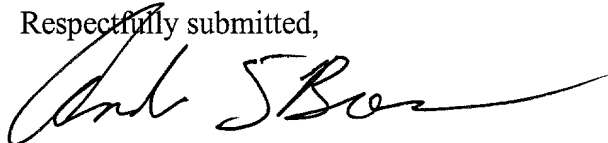
- b. 23 sheets of drawings (Figures 1-6, 7a, 7b, 8-23);
3. Duplicate Compact disks of the Computer Program Listing Appendix;
4. Transmittal letter and a Statement Regarding Computer Program Listing Appendix Submission; and
5. Two (2) return postcards.

This application is being filed without a signed Declaration and without payment of official fees.

In accordance with 37 C.F.R. § 1.96(c), the computer program listing appendix is submitted on a compact disk herewith in the above-identified application.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

Respectfully submitted,



Leslie L. Jacobs, Jr. (Reg. No. 40,659)  
Andrew S. Brenc (Reg. No. 45,534)

Enclosures

109240 80421660

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Lionel CASSIN *et al.*

Appln. No.: To Be Assigned

Filed: July 26, 2001

For: DEVICES, METHODS AND A SYSTEM  
FOR IMPLEMENTING A MEDIA  
CONTENT DELIVERY AND  
PLAYBACK SCHEME

Art Unit: To Be Assigned

Examiner: To Be Assigned

Atty. Docket: 15235.007

**Transmittal Letter and A Statement Regarding Computer Program Listing  
Appendix Submission**

Assistant Commissioner for Patents  
Washington, DC 20231

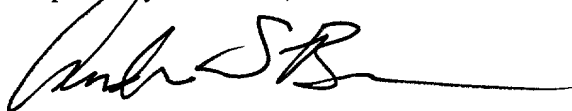
Sir:

In accordance with 37 C.F.R. § 1.96(c), the compact disk of the Computer Program Listing Appendix is submitted herewith in the above-mentioned application.

In accordance with 37 C.F.R. § 1.52(e)(4), a duplicate compact disk is attached. The two compact disks are identical.

In accordance with 37 C.F.R. § 1.52(e)(3), the identical compact disks' are in IBM/PC format and the operating system capability is MS/Windows, and a list of files on the compact disk are attached to this transmittal letter on thirteen (13) separate pages of screen shots.

Respectfully submitted,



Leslie L. Jacobs, Jr. (Reg. No. 40,659)  
Andrew S. Brenc (Reg. No. 45,534)

Date: July 26, 2001

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